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SSI-04001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUL 24 PM 4:55

US PATENT & TRADEMARK OFFICE

In re Application: William Dale Jones

Serial No.: 10/680,783

Filed: October 6, 2003

For: **HIGH-PRESSURE  
PROCESSING CHAMBER FOR  
A SEMICONDUCTOR WAFER**

## REQUEST FOR REFUND (IMPROPER CHARGE OF DEPOSIT ACCOUNT)

162 North Wolfe Road  
Sunnyvale, CA 94086  
(408) 530-9700

Customer No. 28960

Attn: Refund Section, Accounting Division  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450.

Sir:

This is a request for a refund, with respect to the charge to Deposit Account 08-1275, shown on the attached statement dated April 5, 2006 for the above-identified patent application.

An Information Disclosure Statement was filed on March 27, 2006 (see copy of transmittal letter, certification for information disclosure statement and stamped received postcard). Deposit Account 08-1275 was debited \$180.00 on April 28, 2006, however, a certification was filed within the three statutory time period.

Therefore, no information disclosure statement fee should have been debited from the deposit account.


Applicant respectfully requests a credit to Deposit Account 08-1275 in the amount of \$180.00. The Commissioner is hereby authorized to credit Deposit Account 08-1275. An originally executed duplicate of this Request is enclosed for this purpose.

Adjustment date: 06/21/2006 SDENB0B1  
04/05/2006 MWAGSTAF 00000003 081275 10680783  
01 FC:1806 180.00 CR

Dated: 5-17-06

Respectfully submitted  
HAVERSTOCK & OWENS LLP

By:

  
Thomas B. Haverstock  
Registration No.: 32,571  
Attorneys for Applicant(s)

### CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 5-18-06

By: 



United States  
Patent and  
Trademark Office

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Page 1 of 1

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Deposit Account Statement

Requested Statement Month:

Deposit Account Number:

Name:

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Address:

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State:

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Country:

April 2006

081275

HAVERSTOCK & OWENS LLP

DANIELLE DALTON

162 NORTH WOLFE ROAD

SUNNYVALE

CA

94086

UNITED STATES OF AMERICA

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
04/03	1	10364124	SSI-06000	1806	\$180.00	\$3,007.00
04/05	3	10680783	SSI-04001(SSI-040US1)	1806	\$180.00	\$2,827.00
04/05	8	PCT/US06/04813	HAVA-00300WO	8007	-\$40.00	\$2,867.00
04/05	1315	76520406	WILL-00101	7004	\$150.00	\$2,717.00
04/07	2	10351214	SSI-04600	1251	\$120.00	\$2,597.00
04/11	81	11399858		9204	-\$250.00	\$2,847.00
04/12	1	10264581	BRAUN-00101	2201	\$200.00	\$2,647.00
04/13	42	PAYMENT		9203	-\$2,000.00	\$4,647.00
04/17	4	09611676	63186	1811	\$100.00	\$4,547.00
04/17	506	76554864	SCI-01800	7004	\$150.00	\$4,397.00
04/18	169	60792093		9204	-\$100.00	\$4,497.00
04/20	27	11326225	ATI-00000/ATI-00701	8021	\$240.00	\$4,257.00
04/25	46	6466564		9204	-\$900.00	\$5,157.00
04/25	389	11409327		9204	-\$500.00	\$5,657.00
04/27	1	10927400	FL-00201	2201	\$100.00	\$5,557.00
04/27	2	10927400	FL-00201	2202	\$25.00	\$5,532.00
04/27	2	10364124	SSI-06000	1806	\$180.00	\$5,352.00
04/27	3	10121791	SSI-02500	1806	\$180.00	\$5,172.00
04/27	21	11189626	SONY-09302	1814	\$20.00	\$5,152.00
04/28	1	10630649	SSI-08500	1806	\$180.00	\$4,972.00
		START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE	
		\$3,187.00	\$2,005.00	\$3,790.00	\$4,972.00	

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Serial No.: 10/680783 H&O File No.: SS1-04001 By: TBH  
In the Matter of The Application of: Will D. Jones F  
Date Mailed: 3/27/06 Due Date: 4-27-06  
The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Design Patent Application _____ Pages  | <input type="checkbox"/> Form PTO-1533 (Not. of Missing Parts)     | <input checked="" type="checkbox"/> Transmittal Letter <input checked="" type="checkbox"/> Duplicate |
| <input type="checkbox"/> Utility Patent Application _____ Pages                                       | <input type="checkbox"/> Form PTOL-65B (Issue Fee Trans.) & dup.   | <input checked="" type="checkbox"/> Certificate of Mailing   |
| <input type="checkbox"/> Prov. Patent Application _____ Pages   | <input type="checkbox"/> Form PTO-1050 (Cert. of Correction)       | <input type="checkbox"/> Certificate of Express Mailing  |
| <input type="checkbox"/> Declaration/Oath   | <input type="checkbox"/> Maintenance Fee Transmittal Form          | Label No.: _____   |
| <input type="checkbox"/> Power of Attorney _____  | <input type="checkbox"/> Reexamination Request                     | <input type="checkbox"/> Check(s): \$ _____  |
| <input type="checkbox"/> Assignment   | <input type="checkbox"/> Notice of Appeal                          | <input checked="" type="checkbox"/> Deposit Account Authorization Form                               |
| <input type="checkbox"/> Form PTO-1594/1595   | <input type="checkbox"/> Affidavit/Declaration                     |  |
| <input type="checkbox"/> Small Entity Statement   | <input type="checkbox"/> Copy of Filing Receipt                    |  |
| <input type="checkbox"/> Drawings: _____ Sheets(s)  | <input type="checkbox"/> Req. for Corr. of: _____                  |  |
| <input type="checkbox"/> Formal <input type="checkbox"/> Informal                                     | <input type="checkbox"/> Request for Non-Publication               |  |
| <input checked="" type="checkbox"/> Information Disclosure Statement                                  | <input type="checkbox"/> Rescission of Request for Non-Publication |  |
| <input checked="" type="checkbox"/> Form PTO-1449 and Copies of _____<br>References Contained Thereon | <input type="checkbox"/> _____                                     |  |
| <input checked="" type="checkbox"/> Information Disclosure Certification                              | <input type="checkbox"/> _____                                     |  |
| <input type="checkbox"/> Amendment/Response   | <input type="checkbox"/> _____                                     |  |
| <input type="checkbox"/> Extension of Time Request: _____ Months(s)                                   | <input type="checkbox"/> _____                                     |  |
| <input type="checkbox"/> Petition   | <input type="checkbox"/> _____                                     |  |

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Attorney Docket No.: PATENT  
SSI-04001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William D. Jones

Serial No.: 10/680,783

Filed: October 6, 2003

For: **HIGH-PRESSURE PROCESSING  
CHAMBER FOR A  
SEMICONDUCTOR WAFER**

) Group Art Unit: 1763

) Examiner: Jeffrie R. Lund

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

) Customer No. 28960

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including a copy of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 3-27-06

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicant

### CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.  
Date: 3/27/06 By: TH

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Attorney Docket No.: PATENT  
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William D. Jones

Serial No.: 10/680,783

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SEMICONDUCTOR WAFER**

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

) Group Art Unit: 1763

) Examiner: Jeffrie R. Lund

) **CERTIFICATION FOR**  
) **SUPPLEMENTAL INFORMATION**  
) **DISCLOSURE STATEMENT UNDER 37**  
) **CFR § 1.97(e)**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

### Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on January 27, 2006. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).

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Attorney Docket No.: PATENT  
SSI-04001

## Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 3-27-06

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicant

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HAVERSTOCK & OWENS LLP.

Date: 3/27/06 By: [Signature]

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Attorney Docket No.: PATENT  
SSI-04001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William D. Jones

Serial No.: 10/680,783

Filed: October 6, 2003

For: **HIGH-PRESSURE PROCESSING  
CHAMBER FOR A  
SEMICONDUCTOR WAFER**

Group Art Unit: 1763

Examiner: Jeffrie R. Lund

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

162 N. Wolfe Road  
Sunnyvale, CA 94086  
(408) 530-9700

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 6,764,212 B1; and
- Japanese Patent Abstract JP 7-283104.

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Attorney Docket No.: PATENT  
SSI-04001

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 3-27-06

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicant

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- 2 -

HAVERSTOCK & OWENS LLP.  
Date: 3/27/06 By: TH

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FORM PTO-1449  
(Modified)

Sheet 1 of 1

U.S. Department of Commerce  
Patent and Trademark Office

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)

(37 CFR § 1.98(b))

Attorney Docket No.: SSI-04001

Serial No.: 10/680,783

Applicant: William Dale Jones

Filing Date: October 6, 2003

Group Art Unit: 1763

### U.S. PATENT DOCUMENTS

Examiner Initials	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
AA	6,764,212 B1	07/20/04	Nitta et al.	366	114	11/09/99
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						

### FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

	Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
						Yes	No
AK	JP 7-283104	10/27/95	Japan	H01L	21/027		X
AL							
AM							
AN							
AO							

### OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

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AQ	
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AS	
AT	
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AV	
AW	
AX	
AY	
AZ	

Examiner:

Date Considered:

EXAMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.